

ANNEX 4 MAIN DOCUMENTS PUBLISHED<sup>1</sup> BY THE INTERNATIONAL  
SUPERVISORY FORA: FSB, BCBS, EBA, ESRB AND ECB  
IN THE FRAMEWORK OF FINANCIAL STABILITY

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<sup>1</sup> The complete list of the documents published are on their respective website.



Systemic institutions	July	FSB identifies global systemically important insurers (G-SIIs) and the policy measures that will apply to them
	September	Progress and next steps towards ending "Too-Big-To-Fail" (TBTF)
	November	2013 update of group of global systemically important banks (G-SIBs)
Resolution	July	Guidance on developing effective resolution strategies and on recovery triggers and stress scenarios
	August	Consultative document: application of the key attributes of effective resolution regimes to non-bank financial institutions
Intensity and effectiveness of supervision	November	Guidance on supervisory interaction with financial institutions on risk culture (consultative document)
Shadow banking	August	Policy framework for strengthening oversight and regulation of shadow banking entities
	November	Global shadow banking monitoring report 2013
		FSB launches Quantitative Impact Study (QIS2) on proposed regulatory framework for haircuts on securities financing transactions
Progress reports on the implementation of the regulatory reforms	February, April	FSB Chair's letters sent to the G20 ministers and governors on the progress of financial reforms
	April, September	Fifth progress report on OTC derivatives and Sixth progress report on OTC derivatives reform implementation
	August	Second progress report on compensation practices
		Enhanced disclosure task force (EDTF) progress report
	September	FSB Chair's letter to G20 leaders on progress of financial reforms
	October	Statement of chairman Carney to the IMFC
	December	Global adherence to regulatory and supervisory standards on international cooperation and information exchange. Status update
Peer reviews	February	Thematic review on risk governance
	April	Thematic review on resolution regimes
	August	Thematic review of the FSB principles for reducing reliance on CRA ratings (interim report)

Principles and Recommendations	January	Principles for effective risk data aggregation and risk reporting
		Basel III: The Liquidity Coverage Ratio and liquidity risk monitoring tools
	February	Supervisory guidance for managing risks associated with the settlement of foreign exchange transactions
	April	Monitoring tools for intraday liquidity management
	July	Global systemically important banks: updated assessment methodology and the higher loss absorbency requirement
	September	Margin requirements for non-centrally cleared derivatives
Consultative documents	December	Capital requirements for banks' equity investments in funds
	March	External audits of banks
		Supervisory framework for measuring and controlling large exposures
		Recognising the cost of credit protection purchased
	June	Revised Basel III leverage ratio framework and disclosure requirements
		Sound management of risks related to money laundering and financing of terrorism
		Capital treatment of bank exposures to central counterparties
		The non-internal model method for capitalising counterparty credit risk exposures
	July	Liquidity coverage ratio disclosure standards
		The regulatory framework: balancing risk sensitivity, simplicity and comparability - discussion paper
Reports	October	Fundamental review of the trading book - second consultative document
	December	Revisions to the securitisation framework
	January	Basel Committee on Banking Supervision (BCBS) Charter
		Regulatory consistency assessment programme (RCAP) - Analysis of risk-weighted assets for market risk
	March	Results of the Basel III monitoring exercise as of 30 June 2012
	April	Progress report on implementation of the Basel regulatory framework
		Report to G20 Finance Ministers and Central Bank Governors on monitoring implementation of Basel III regulatory reform
	July	Regulatory consistency assessment programme (RCAP) - Analysis of risk-weighted assets for credit risk in the banking book
	August	Report to G20 Leaders on monitoring implementation of Basel III regulatory reforms
	September	Results of the Basel III monitoring exercise as of 31 December 2012
	October	Progress report on implementation of the Basel regulatory framework
	December	Regulatory Consistency Assessment Programme (RCAP) - Second report on risk-weighted assets for market risk in the trading book
		Progress in adopting the principles for effective risk data aggregation and risk reporting

Decisions	June	Decision adopting the Rules of Procedure of the European Banking Authority Board of Supervisors
	December	Decision of the Board of Supervisors establishing the EBA Credit Institution Register
Warnings	February	Investors warning on contracts for difference
	December	Warning to consumers on virtual currencies
Opinions	March	Joint Committee Opinion - Joint Letter to the European Commission on the possible regulatory framework for benchmark activities
		Opinion on Good Practices for ETF Risk Management
	June	Opinion on Good Practices for Responsible Mortgage Lending
		Opinion on Good Practices for the Treatment of Borrowers in Mortgage Payment Difficulties
	December	Opinion on Credit Valuation Adjustment risk for the determination of a proxy spread
		Technical advice to the Commission on possible treatments of unrealised gains measured at fair value
Recommendations	January	Recommendation on the use of the Legal Entity Identifier (LEI) for supervisory purposes
		Recommendations on supervisory oversight of activities related to banks' participation in the Euribor panel
		Recommendation on the development of recovery plans
	July	Recommendation on the preservation of core Tier 1 capital during the transition to the Capital Requirements Directive/Capital Requirements Regulation framework
	October	Recommendations on asset quality reviews
Reports	January	Risk Assessment Report - January 2013
	February	EBA interim report on the consistency of risk-weighted assets in the banking book
	March	Joint Committee report - Report on risks and vulnerabilities in the EU financial system
		Report on Consumer Trends, Supervisory Concerns Regarding Consumer Protection Issues in 2012/13
	July	ESMA-EBA Principles for Benchmark-Setting Processes in the EU
		Report on High Earners: 2010 and 2011 data
		Risk Assessment Report - July 2013
	August	Interim results update of the EBA review of the consistency of risk-weighted assets
	September	Joint Committee report - Report on risks and vulnerabilities in the EU financial system
	November	Report on High Earners: 2012 data
		Joint Position of the European Supervisory Authorities on Manufacturers' Product Oversight & Governance Processes
	December	Third interim report of the EBA review of the consistency of risk-weighted assets - SME and residential mortgages
		Report on appropriate uniform definitions of extremely high quality liquid assets (extremely HQLA) and high quality liquid assets (HQLA) and on operational requirements for liquid assets under Article 509(3) and (5) CRR
		Report on impact assessment for liquidity measures under Article 509(1) of the CRR
		EU-wide Transparency Exercise
Consultation papers	November	Guidelines for complaints-handling for the securities (ESMA) and banking (EBA) sectors
	December	Discussion paper on the draft methodology for assessment of liquidity and funding risk under SREP

Recommendations	February	Recommendation of the ESRB of 20 December 2012 on money market funds (ESRB/2012/1)
		Recommendation of the ESRB of 20 December 2012 on funding of credit institutions (ESRB/2012/2)
	June	Recommendation of the ESRB of 4 April 2013 on intermediate objectives and instruments of macro-prudential policy (ESRB/2013/1)
	November	ESRB Recommendation on lending in foreign currencies (ESRB/2011/1) Follow-up Report – Overall assessment
Risk Dashboards	March	ESRB Risk Dashboard, issue 3
	June	ESRB Risk Dashboard, issue 4
	September	ESRB Risk Dashboard, issue 5

April	Financial Integration in Europe
May	Financial Stability Review
November	Banking Structures Report
	Financial Stability Review

